

**Order Routing Quarterly  
Report SEC Rule 606 Order Routing Information:**

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**Report For Period 7/1/2017 To 10/1/2017**

STOCK USA EXECUTION SERVICES, INC. (Stock USA) has prepared this report pursuant to a U.S. Securities and Exchange Commission rule requiring all brokerage firms to make publicly available reports on their order-routing practices. The report provides information on the routing of "non-directed orders" (any order that the customer has not specifically instructed to be routed to a particular venue for execution).

This report is divided into three sections: one for securities listed on the New York Stock Exchange, one for securities listed on The NASDAQ Stock Market, one for securities listed on the American Stock Exchange or regional exchanges. For each section, this report identifies the number of non-directed orders as a percentage of total customer orders.

# SEC Rule 606 Report

7/1/17 to 10/1/17

<b>Non Directed Orders as a Percentage of Total Orders In NYSE, NASDAQ, AMEX, and CBOE</b>	<b>30.79%</b>
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Network A Securities - NYSE

<b>Non Directed as Percentage of Total Customer orders In NYSE, NASDAQ, AMEX</b>	<b>8.83%</b>
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Non Directed as Percentage of Total Customer Orders in NYSE Securities	<b>26.13%</b>
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Percentage to Venue	Total Non-Directed Orders	Market Orders	Market		
			Limit Orders	Other Orders	Other
			40.96%	59.04%	0.00%
CUGX	22.56%		31.29%	17.14%	0.00%
ITGI	25.36%		35.18%	19.26%	0.00%
PDQ	37.75%		33.53%	40.37%	0.00%
POST	14.33%		0.00%	23.23%	0.00%

Nasdaq Securities

<b>Non Directed Orders as a Percentage of Total Orders In NYSE, NASDAQ, AMEX, and CBOE</b>	<b>19.16%</b>
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Non Directed as Percentage of Total Customer Orders in NASDAQ Securities	<b>31.39%</b>
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Percentage to Venue	Total Non-Directed Orders	Market Orders	Market		
			Limit Orders	Other Orders	Other
			38.29%	61.71%	0.00%
CUGX	19.92%		31.87%	12.58%	0.00%
EDGE	0.04%		0.00%	0.06%	0.00%
ITGI	22.48%		34.74%	14.94%	0.00%
PDQ	38.61%		32.77%	42.19%	0.00%
POST	18.78%		0.37%	30.09%	0.00%
SPDRX	0.18%		0.25%	0.13%	0.00%

Network B Securities - NYSE Amex and Regional Exchanges

<b>Non Directed Orders as a Percentage of Total Orders In NYSE, NASDAQ, AMEX, and CBOE</b>	<b>2.80%</b>
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Non Directed as Percentage of Total Customer Orders in NASDAQ Securities	<b>54.01%</b>
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Percentage to Venue	Total Non-Directed Orders	Market Orders	Market		
			Limit Orders	Other Orders	Other
			58.37%	41.63%	0.00%
ARCA	0.07%		0.00%	0.19%	0.00%
CUGX	21.36%		30.48%	7.52%	0.00%
EDGE	0.07%		0.00%	0.19%	0.00%
ITGI	28.68%		35.94%	17.67%	0.00%
PDQ	35.55%		33.21%	39.10%	0.00%
POST	14.12%		0.25%	35.15%	0.00%
SPDRX	0.15%		0.12%	0.19%	0.00%

Exchange-Listed Options

Percentage to Venue	Total Non-Directed Orders	Market Orders	Market		
			Limit Orders	Other Orders	Other
			0.00%	0.00%	0.00%

## **ORDER ROUTING QUARTERLY REPORT SEC RULE 606**

In accordance with Regulation NMS Rule 606(b)(1) a customer can request that SUSA disclose the identity of the venue to which the customer's orders were routed for execution in the six months prior to the request, whether the orders were directed orders or non-directed orders, and the time of the transactions, if any that resulted from such orders.

HARD COPY OF THIS REPORT IS AVAILABLE UPON REQUEST - FREE OF CHARGE. Price Improvement is available under certain market conditions. SUSA posts quarterly order routing execution reports at: [www.speedtraderpro.com](http://www.speedtraderpro.com). For additional information or to request a "Hard Copy" or "Identity of the Venue Disclosure", please contact Stock USA Execution Services, Inc., via email: [info@stockusa.com](mailto:info@stockusa.com), fax: 845-622-4878, telephone: 800-874-3039, live chat: [www.speedtraderpro.com](http://www.speedtraderpro.com), or U.S mail: 1717 Route 6, Attention: Compliance, Carmel, NY 10512.