

Effective on May 15, 2017

This privacy statement describes how Stock USA Execution Services, Inc. collects and uses the personal information you provide on our Web site: www.SpeedTraderPro.com. It also describes the choices available to you regarding our use of your personal information and how you can access and update this information.

Collection and Use of Personal Information

We collect the following personal information from you:

- Contact information such as name, email address, mailing address, phone number
- Financial Information such as bank or brokerage account numbers, types of investments
- Social Security Number
- Driver's License Number
- Unique Identifiers such as user name, account number, password
- Demographic information such as age, education, gender, interest and zip code

As is true of most Web sites, we automatically gather information about your computer such as your IP address, browser type, referring/exit pages, and operating system.

We use this information to:

- Respond to customer service requests
- Administer your account
- Respond to your questions and concerns

Information Sharing

We will share your personal information with third parties only in the ways that are described in this privacy statement. We do not sell your personal information to third parties.

We may provide your personal information to companies that provide services to help us with our business activities such as shipping your order or offering customer service. These companies are authorized to use your personal information only as **necessary to provide** these services to us.

We may also disclose your personal information

- As required by law such as to comply with a subpoena, or similar legal process
- When we believe in good faith that disclosure is necessary to protect our rights, protect your safety or the safety of others, investigate fraud, or respond to a government request,
- If Stock USA Execution Services, Inc. is involved in a merger, acquisition, or sale of all or a portion of its assets, you will be notified via email and/or a prominent notice on our Web site of any change in ownership or uses of your personal information, as well as any choices you may have regarding your personal information,
- to any other third party with your prior consent to do so



A division of **Stock USA Execution Services, Inc.**

Cookies and Other Tracking Technologies

We use another company to place cookies on your computer to collect non-personally identifiable information to compile aggregated statistics for us about visitors to our site.

Web beacons

Our Web pages may also contain electronic images known as Web beacons (sometimes called single-pixel gifs) that are set by our third party partners. Web beacons are used along with cookies enabling our partners to compile aggregated statistics to analyze how our site is used.

We use a third party to gather information about how you and others use our Web site. For example, we will know how many users access a specific page and which links they clicked on. We use this aggregated information to understand and optimize how our site is used.

Links to Other Web Sites

Our Site includes links to other Web sites whose privacy practices may differ from those of Stock USA Execution Services, Inc. If you submit personal information to any of those sites, your information is governed by their privacy statements. We encourage you to carefully read the privacy statement of any Web site you visit.

Security

The security of your personal information is important. When you enter sensitive information (such as credit card number) on our order forms, we encrypt the transmission of that information using secure socket layer technology (SSL).

We follow generally accepted industry standards to protect the personal information submitted to us, both during transmission and once we receive it. No method of transmission over the Internet, or method of electronic storage, is 100% secure, however. Therefore, we cannot guarantee its absolute security.

If you have any questions about security on our Web site, you can contact us at info@SpeedTrader.com

Additional Policy Information

Our Web site offers publicly accessible blogs or community forums. You should be aware that any information you provide in these areas may be read, collected, and used by others who access them.

Correcting and Updating Your Personal Information

To review and update your personal information to ensure it is accurate, contact us at info@SpeedTrader.com

Notification of Privacy Statement Changes

We may update this privacy statement to reflect changes to our information practices. If we make any material changes we will notify you by email (sent to the email address specified in your account) or by means of a notice on this Site prior to the change becoming effective. We encourage you to periodically review this page for the latest information on our privacy practices.

Contact Information

You can contact us about this privacy statement by writing or email us at the address below:

Stock USA Execution Services, Inc.
1717 Route 6, Suite 102
Carmel, New York 10512
Email: info@SpeedTrader.com
Phone: 1-800-874-3039 from 8:00 a.m. to 5:00 p.m. EST
Web: www.SpeedTraderPro.com Live Chat or Fax 845-622-4878



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RESOURCES

Our employees or representatives may collect nonpublic personal information about you from various sources including:

- Your account application or other supplemental account forms.
- Your transactional history with Stock USA Execution Services, Inc.
- Your Account records including transactional history with nonaffiliated ETC Transactions Clearing, Inc. (ETC) where it is appropriate.
- Information from credit reporting agencies (e.g.... Experian, Equifax, and Trans Union).
- Current and prior employers, federal and state agencies.
- Consumer reporting agencies such as McDonald Information Services, Inc.

YOUR NONPUBLIC PERSONAL INFORMATION

The type of nonpublic personal information collected varies according to the products or services provided and may include, the following categories: (1) name, (2) current and prior resident addresses, (3) phone number, (4) e-mail address, (5) social security number, (6) securities or futures trading history, (7) date of birth, (8) banking relationships, (9) interest in opening an account, (10) investment experience, (11) total assets, (12) cash balances, (13) margin information (14) trading positions, (15) account balances, (16) income, (17) excess margin, (18) margin loan records, and (19) deposit and withdrawal history.

Information collected is used to process your transactions, update your account records, to inform you of other services that may be of interest, and to ensure compliance with appropriate regulations.

OPT OUT OF DISCLOSURE

The law allows you to “opt out” of our sharing nonpublic personal information about you in certain circumstances with affiliated and on affiliated companies, that is, you may direct us to not make such disclosures. We do not currently share information about you with any affiliate or third party that triggers this opt-out right. Therefore, there is no need for you to opt out. If in the future we desire to disclose your information in a way that is inconsistent with this policy, we will notify you in advance and provide you with the opportunity to opt out of such disclosure.

STATEMENT OF FINANCIAL CONDITION: Stock USA Execution Services, Inc., Statement of Financial condition, is AVAILABLE UPON REQUEST FREE OF CHARGE.

THIS PRIVACY NOTICE IS PROVIDED TO YOU FOR INFORMATIONAL PURPOSES ONLY. YOU DO NOT NEED TO CALL OR TAKE ANY ACTION IN RESPONSE TO THIS NOTICE. WE RECOMMEND THAT YOU READ AND RETAIN THIS NOTICE FOR YOUR PERSONAL RECORDS.

All executions through Stock USA Execution Services, Inc. Member FINRA & SIPC

For Service Call: (800) 874-3039 from 8:00 a.m. to 5:00 p.m. EST or fax (845) 622-4878 or email info@SpeedTrader.com / www.SpeedTraderPro.com /Live Chat

Address: 1717 Route 6, Suite 102, Carmel NY 10512 URL: SpeedTraderPro.com Twitter: @SpeedTraderPro Facebook: [SpeedTraderPro.com](https://www.facebook.com/SpeedTraderPro.com)

Cleared Through Electronic Transaction Clearing, Inc. (ETC)